PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for indicating a separate "FEE ADDRESS" for indicating the patents of Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) express 02/07/2005 23713 7590 Certificate of Mailing or Transmission GREENLEE WINNER AND SULLIVAN P C I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for Transmittal in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below. 4875 PEARL EAST CIRCLE SUITE 200 BOULDER, CO 80301 04/29/2005 EHAILE2 00000066 09712612 (Depositor's name Cathy Nelson (Signature EXPRESS MAIL #36.00 0P 224 160 US 01 FC:2501 2005 April 02 FC:8001 CONFIRMATION NO. ATTORNEY DOCKET NO. FIRST NAMED INVENTOR FILING DATE APPLICATION NO. 9612 90-99 Duy H. Hua 11/13/2000 09/712,612 TITLE OF INVENTION: METHODS OF TREATING CATARACTS AND DIABETIC RETINOPATHY WITH TRICYCLIC PYRONES TOTAL FEE(S) DUE DATE DUE PUBLICATION FEE ISSUE FEE SMALL ENTITY APPLN, TYPE 05/09/2005 \$700 \$0 \$700 YES nonprovisional CLASS-SUBCLASS ART UNIT EXAMINER 1614 514-291000 FAY, ZOHREH A 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list 1 GREENLEE, WINNER and (1) the names of up to 3 registered patent attorneys SULLIVAN, P.C. ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Manhattan, Kansas Kansas State University Research Foundation ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed: A check in the amount of the fee(s) is enclosed. X Issue Fee Payment by credit card. Form PTO-2038 is attached. Publication Fee (No small entity discount permitted) The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 07-1969 (enclose an extra copy of this form). Advance Order - # of Copies _ Deposit Account Number 5. Change in Entity Status (from status indicated above) ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. April 27, 2005

Authorized Signature

Date

Susan K. Doughty

43,595 Registration No.

Typed or printed name

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/712,612

Confirmation No. 9612

Applicant

Duy H. Hua

Filed

November 13, 2000

TC/A.U.

1614

Examiner

Fay, Zohreh A.

For

Methods of Treating Cataracts and

Diabetic Retinopathy with Tricyclic Pyrones

Docket No.

90-99

Customer No.:

23713

Commissioner for Patents MAIL STOP ISSUE FEE

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for Express Mail in an envelope addressed to:

Commissioner for Patents, Mail Stop Issue Fee, PO, Box 1450, Alexandria, VA 22313-1450

EV 663 224 160 US Express Mail Tracking Number

TRANSMITTAL

Sir:

Included herewith are the following:

- Part B Fee(s) Transmittal Form PTOL-85B and
- Check in the amount of \$730.00 (\$700.00 for the issue fee for small entity and \$30.00 for 10 copies).

If the fee submitted is incorrect, please deduct any required fee from deposit account 07-1969.

Respectfully submitted,

Susan K. Doughty Reg. No. 43,595

GREENLEE, WINNER AND SULLIVAN, P.C. 5370 Manhattan Circle, Suite 201

Boulder, CO 80303

Telephone: (303) 499-8080; Facsimile: (303) 499-8089

E-mail: winner@greenwin.com Attorney docket No. 90-99

April 27, 2005